

Definitions

Annex to the Union Bank AG in Liquidation Privacy Policy

The definitions are based on the DSGVO (Art. 4). They also apply in this form to the DSG. The provisions are intended to explain to the data subject expressions in Union Bank AG in Liquidation's Data Protection Statement in terms of content and scope.

Union Bank AG in Liquidation (hereinafter referred to as UBAG i.L.) uses the following terms, among others, in its privacy policy:

a) Personal data

Personal data means any information relating to an identified or identifiable natural person (hereinafter referred to as "data subject"). An identifiable natural person is one who can be identified, directly or indirectly, in particular by reference to an identifier such as a name, an identification number, location data, on-line identification, or to one or more factors specific to the physical, physiological, genetic, mental, economic, cultural or social identity of that natural person.

b) Data subject

Data subject means any identified or identifiable natural person whose personal data are processed by the controller.

c) Processing

Processing is any operation or set of operations, performed with or without the aid of automated means, which is performed upon personal data, such as collection, recording, organisation, organisation, filing, storage, adaptation or alteration, retrieval, consultation, use, disclosure by transmission, dissemination or otherwise making available, alignment or combination, restriction, erasure or destruction.

d) Restriction of processing

Restriction of processing is the marking of stored personal data with the aim of limiting their future processing.

e) Profiling

Profiling is any automated processing of personal data consisting of the use of such personal data to evaluate certain personal aspects relating to a natural person, in particular to analyse or predict aspects relating to the performance of work, economic situation, health, personal preferences, interests, reliability, conduct, location or change of location of that natural person.

f) Pseudonymisation

Pseudonymisation is the processing of personal data in such a way that the personal data can no longer be attributed to a specific data subject without additional information, provided that this additional information is kept separately and is subject to technical and organisational measures which ensure that the personal data is not attributed to an identified or identifiable natural person.

g) Controller or data controller

The controller or data controller is the natural or legal person, public authority, agency or any other body which alone or jointly with others determines the purposes and means of the processing of personal data. If the purposes and means of such processing are specified by the DSGVO or the DSG Liechtenstein, the

controller or the specific criteria for his or her designation may be provided in accordance with the aforementioned principles.

h) Order processor

The order processor is a natural or legal person, public authority, agency or other body which processes personal data on behalf of the controller.

i) Recipient

The recipient is any natural or legal person, public authority, agency or other body to whom personal data are disclosed, whether or not that person is a third party. However, authorities that may receive Personal Data within the scope of a specific investigation mandate under the DSGVO and the DSG are not considered to be recipients.

j) Third party

A third party is a natural or legal person, public authority, agency or body other than the data subject, the controller, the processor and the persons who, under the direct authority of the controller or the processor, are authorized to process the personal data.

k) Consent

Consent shall mean any freely given and informed unequivocal expression of the data subject's wishes in the specific case, in the form of a statement or any other unequivocal affirmative act by which the data subject signifies his or her consent to the processing of personal data relating to him or her.

Note: Consent may take the form of a written statement, which may also be given electronically, or an oral statement. This could be done, for example, by ticking a box when visiting an Internet site, by selecting technical settings for Information Society services or by any other statement or conduct by which the data subject clearly indicates his or her consent to the intended processing of his or her personal data in the relevant context (cf. Erw. 32 DSGVO).